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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/651,431	08/30/2000	Wolfgang Streubel	BO-107	2577

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EXAMINER

WILKINS III, HARRY D

ART UNIT	PAPER NUMBER
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1742

DATE MAILED: 07/14/2003

25

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 09/651,431	Applicant(s) STREUBEL ET AL.	
	Examiner Harry D Wilkins, III	Art Unit 1742	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 23 May 2003.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3,6,7,9 and 12-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,6,7,9 and 12-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☒ The proposed drawing correction filed on 21 June 2001 is: a) ☒ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                  | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____  |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)         | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other:  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 3, 6, 7, 14, 15 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bonjean et al (US 4,787,680) in view of Hoover et al (US 4,582,259), Metals Handbook Vol. 1 and ASM Handbook Vol. 5.

Bonjean et al teach (see abstract, col. 2, lines 17-29 and col. 2, lines 66 to col.3, line 3) a method of forming a U-shaped semi-rigid axle for a vehicle that includes the step of deforming the central region of the tube to form a central longitudinal section of U-shaped cross-section with opposed ends being substantially undeformed. Bonjean et al teach (see col. 4, lines 43-47) that further total or localized heat treatment may be performed after the axle has been shaped in order to enhance the mechanical characteristics. Bonjean et al teach (see col. 3, lines 24-51) that further configuration processing is performed to prepare the deformed tube into a torsionally yielding axle.

Bonjean et al do not teach that (1) the deformation occurs by cold-forming, (2) the tube is a tempering steel, (3) the annealing, quenching and tempering treatments or (4) the outer surface hardening treatment.

With respect to feature (1), Hoover et al teach (see abstract, figures and col. 5, line 36 to col. 6, lines 33) a conventional method of deforming a tube that is conventionally called press forming.

Therefore, it would have been obvious to one of ordinary skill in the art to have used the conventional press forming method as taught by Hoover et al to perform the deformation step of Bonjean et al because the press forming method is able to deform a portion of the tube without deforming the rest of the tube (see Hoover et al at col. 6, lines 22-24).

With respect to features (2) and (3) Metals Handbook Vol. 1 teaches (see pages 147-148 and pages 206-208) that high-Mn, low-carbon steels, such as 15B24 (from table 17 on page 151), are suitable for seamless tubes and provide excellent strength, toughness and ductility and can be subjected to quenching and tempering (i.e.-is a tempering steel). Therefore, it would have been obvious to one of ordinary skill in the art to have used a low-carbon steel for the starting seamless tube of Bonjean et al because of the beneficial strength, toughness and ductility properties of high-Mn low-carbon steels such as 15B24.

Metals Handbook Vol. 1 teaches (see paragraph spanning pages 206 and 208 and 2<sup>nd</sup> and 3<sup>rd</sup> cols. of page 390) that high-Mn low-carbon steels were subjected to a standard quenching and tempering treatment. The heat treatment involves (see page 390) heating (annealing) at a suitable temperature of about 900°C, hardening by water quenching and finally tempering at a temperature of 480-600°C for desired strength and toughness.

Therefore, it would have been obvious to one of ordinary skill in the art to have applied the conventional quench and temper method as taught by the Metals Handbook Vol. 1 to the axle of Bonjean et al because the conventional quench and temper method produce a steel with excellent strength and toughness as taught by the Metals Handbook Vol. 1 at page 390.

Though the tempering step does not state a duration, it would have been within the expected skill of a routineer in the art to have selected an appropriate duration for the tempering to ensure proper toughness (the result of tempering).

With respect to feature (4), the ASM Handbook Vol. 5 teaches (see pages 708-709) that shot peening can be applied to the surface of carbon steels in order to reduce fatigue failures in parts subjected to cyclic loading, such as an axle.

Therefore, it would have been obvious to one of ordinary skill in the art to have applied an outer surface hardening method, such as shot peening, as taught by the ASM Handbook Vol. 5 to the axle of Bonjean et al because the shot peening reduces fatigue failure in parts subjected to cyclic loading.

It would have been within the expected skill of a routineer in the art to apply the annealing step as taught by Metals Handbook Vol. 1 to only the sections of the support where the desired hardness and microstructure were required as disclosed by Bonjean et al (see col. 4, lines 43-47, "localised (sic) heat treatment"), such as the transitional sections as claimed.

Regarding claim 3, the Metals Handbook Vol. 1 teaches (see page 390) the heating/annealing step at about 900°C, which is about (approximately) 930°C.

Regarding claims 6 and 7, the ASM Handbook Vol. 5 teaches that shot peening can be applied to carbon steels. Therefore, it would have been obvious to one of ordinary skill in the art to carry out the surface hardening by the conventional method of bombardment with steel balls (i.e.-shot peening).

Regarding claim 14, the Metals Handbook Vol. 1 teaches (see page 390) the heating/annealing step at about 900°C, which includes 902°C.

Regarding claim 15, though the claimed temperature and time for the tempering step is different from the conditions disclosed by the Metals Handbook Vol. 1, it would have been within the expected skill of a routineer in the art to have selected and optimized the known result effective variables of tempering time and temperature. Tempering time and temperature are known result effective variables as evidenced by Sano et al (see col. 1, lines 21-23).

Regarding claim 16, Bonjean et al teach (see col. 3, lines 40-43) that the selection of the material for the axle is within the normal area of competence of an axle designer. The Metals Handbook Vol. 1 teaches (see page 151) that a standard carbon steel (tempering steel) is SAE-AISI 1524 (and 15B24) (which is equivalent to 22MnB5). Therefore, it would have been obvious to one of ordinary skill in the art to have selected the conventional steel for use in a twist beam rear axle for achieving proper mechanical properties.

3. Claims 9, 12, 13 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bonjean et al (US 4,787,680) in view of Hoover et al (US 4,582,259), the ASM Handbook Vol. 4 and ASM Handbook Vol. 5.

Bonjean et al teach (see abstract, col. 2, lines 17-29 and col. 2, lines 66 to col.3, line 3) a method of forming a U-shaped semi-rigid axle for a vehicle that includes the step of deforming the central region of the tube to form a central longitudinal section of U-shaped cross-section with opposed ends being substantially undeformed. Bonjean et al teach (see col. 4, lines 43-47) that further total or localized heat treatment may be performed after the axle has been shaped in order to enhance the mechanical characteristics. Bonjean et al teach (see col. 3, lines 24-51) that further configuration processing is performed to prepare the deformed tube into a torsionally yielding axle.

Bonjean et al do not teach that (1) the deformation occurs by cold-forming, (2) the tube is a case hardening steel or the further case hardening treatments or (3) the outer surface hardening treatment.

With respect to feature (1), Hoover et al teach (see abstract, figures and col. 5, line 36 to col. 6, lines 33) a conventional method of deforming a tube that is conventionally called press forming.

Therefore, it would have been obvious to one of ordinary skill in the art to have used the conventional press forming method as taught by Hoover et al to perform the deformation step of Bonjean et al because the press forming method is able to deform a portion of the tube without deforming the rest of the tube (see Hoover et al at col. 6, lines 22-24).

With respect to feature (2), The ASM Handbook Vol. 5 teaches (see pages 948-949) that case-hardening is a conventional process and that carburizing and quenching are performed to enable surface hardening. A steel must be a case hardening steel in

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order to be case hardened. Therefore, it would have been obvious to one of ordinary skill in the art to have made the axle of Bonjean et al from a case hardening steel in order to ensure the ability of the axle to be surface hardened by a case hardening method.

With respect to feature (3), the ASM Handbook Vol. 4 teaches (see page 371) that shot peening is applied to case hardened steel in order to increase surface compressive residual stresses. This process has the effect of improving bending fatigue performance.

Therefore, it would have been obvious to one of ordinary skill in the art to have applied case-hardening and shot peening, as taught by the ASM Handbook Vols. 4 and 5, to a conventional case hardening steel because the case-hardening increases the hardness of the surface of the steel as taught by the ASM Handbook Vol. 5 and the shot peening improves bending fatigue performance as taught by the ASM Handbook Vol. 4.

It would have been within the expected skill of a routineer in the art to apply the case hardening as taught by the ASM Handbook Vol. 5 to only the sections of the support where the desired hardening was required as disclosed by Bonjean et al (see col. 4, lines 43-47, "localised (sic) heat treatment"), such as the transitional sections as claimed.

Regarding claims 12-13, the ASM Handbook Volume 4 teaches applying the surface hardening as shot peening. Therefore, it would have been obvious to one of ordinary skill in the art to carry out the surface hardening by the conventional method of bombardment with steel balls (i.e.-shot peening).



Regarding claim 17, it would have been obvious to one of ordinary skill in the art to have selected the conventional C15 case hardening steel (ASTM A 576, see paper no. 7 and pages 162 and 163 of Metals Handbook Vol. 1) because it has specific mechanical property requirements which are desirable for a twist beam rear axle.

***Response to Arguments***

4. Applicant's arguments filed 23 May 2003 have been fully considered but they are not persuasive. Applicant has argued that:

- a. Bonjean et al fail to make any reference to the transitional sections; and,
- b. Hoover et al is not analogous art.

In response to Applicant's first argument, though Bonjean et al fail to specifically reference the transitional sections, Bonjean et al teach that localized heat treatment was applied for appropriate reasons. The Examiner asserts that it would have been within the expected skill of a routineer in the art to have selected the appropriate hardening process for applying to the transitional sections because the transitional sections would be subjected to the worst fatigue conditions by being located between the torosionally yielding central section and the torosionally resistant end sections.

In response to Applicant's second argument, Hoover et al is considered to be analogous art because it is reasonably pertinent to the problems addressed by the present invention. In particular, Bonjean et al teaches (see col. 3, lines 2-3) forming the U-shaped section by deformation. No further information is given. An artisan in the art would have looked to other prior art references for further information on the deforming

of tubes. Hoover et al is related to the easy deformation of tubes by press forming.

Therefore, Hoover et al is considered to be analogous art.

### ***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Harry D Wilkins, III whose telephone number is 703-305-9927. The examiner can normally be reached on M-Th 10:00am-8:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Roy V King can be reached on 703-308-1146. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-872-9311 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0661.

Harry D Wilkins, III  
Examiner  
Art Unit 1742

hdw  
July 7, 2003

  
**ROY KING**  
**SUPERVISORY PATENT EXAMINER**  
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